

	Receivables from customers			
	i. In case receivables are against margin financing, the aggregate if (i) value of securities held in the blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii) market value of any securities deposited as collateral after applying VaR based haircut. <i>i. Lower of net balance sheet value or value determined through adjustments.</i>	-	-	-
	ii. In case receivables are against margin trading, 5% of the net balance sheet value. <i>ii. Net amount after deducting haircut</i>			
	iii. In case receivables are against securities borrowings under SLB, the amount paid to NCCPL as collateral upon entering into contract, <i>iii. Net amount after deducting haircut</i>			
1.17	iv. In case of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value. <i>iv. Balance sheet value</i>	173,623	0%	173,623
	v. In case of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VaR based haircuts. <i>v. Lower of net balance sheet value or value determined through adjustments</i>	1,493,557	1,293,558	1,293,558
	<i>vi. 100% haircut in the case of amount receivable from related parties.</i>	-	-	
	Cash and Bank balances			
1.18	i. Bank Balance-proprietary accounts	19,895	0%	19,895
	ii. Bank balance-customer accounts	1,692,013	0%	1,692,013
	iii. Cash in hand	92,715	0%	92,715
1.19	Total Assets	87,908,745	10,601,456	46,606,497
2. Liabilities				
	Trade Payables			
2.1	i. Payable to exchanges and clearing house	-		-
	ii. Payable against leveraged market products			
	iii. Payable to customers	1,657,082	0%	1,657,082
	Current Liabilities			
	i. Statutory and regulatory dues	-		-
	ii. Accruals and other payables	649,276	0%	649,276
	iii. Short-term borrowings	15,521,906	0%	15,521,906
2.2	iv. Current portion of subordinated loans	-		-
	v. Current portion of long term liabilities	-		-
	vi. Deferred Liabilities	-		-
	vii. Provision for bad debts	-		-
	viii. Provision for taxation	-		-
	ix. Other liabilities as per accounting principles and included in the financial statements	-		-
	Non-Current Liabilities			
	i. Long-Term financing	39,140,440	100%	-
	a. Long-Term financing obtained from financial institution: Long term portion of financing obtained from a financial institution including amount due against finance lease	-	-	
	b. Other long-term financing	-	-	
	ii. Staff retirement benefits		-	
2.3	iii. Advance against shares for Increase in Capital of Securities broker: 100% haircut may be allowed in respect of advance against shares if: a. The existing authorized share capital allows the proposed enhanced share capital b. Board of Directors of the company has approved the increase in capital c. Relevant Regulatory approvals have been obtained d. There is no unreasonable delay in issue of shares against advance and all regulatory requirements relating to the increase in paid up capital have been completed. e. Auditor is satisfied that such advance is against the increase of capital.	-	-	
	iv. Other liabilities as per accounting principles and included in the financial statements			

